PHYS 262

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Chapter 38: Light Waves Behaving as Particles

- Photoelectric Effects
- □ X-ray Production
- Compton Scattering & Pair Production
- Heisenberg Uncertainty Principle
- □ Wave/Particle Duality



Quantum Nature of Light

Two seemingly paradoxical nature of light (EM waves):

→ By the end of the 19th century, most physicists (Maxwell, Hertz, and others) have firmly established that electromagnetic waves are waves which exhibit interference and diffraction (Ch. 35-36).

→ But newer experiments on the emissions and absorptions of EM waves have shown behaviors which CANNOT be explained with light being a wave... It requires a radical new thinking of light as *quantized packets of energy* called **photons** (as particles).

Max Planck's Hypothesis of Quantized Light (Photon)

Light is quantized! Each packet of light (a photon) has energy depending on its frequency:

$$E = hf = \frac{hc}{\lambda}$$

where $h = 6.626 \times 10^{-34} J \cdot s$ is a universal constant called **Planck's Constant**.

Note the smallness of this number.

The Photoelectric Effect

An experimental demonstration of the *particle* nature of light.



Electrons on the metal surface (cathode) are normally bounded to the positive ions on the surface.



The potential energy which an *e* needs to escape the surface is call the **work function** ϕ

The Photoelectric Effect



The stopping potential at which the current ceases has absolute value V_0 .

When V_{AC} (reversed) $\rightarrow -V_0$

Above a certain potential strength V_0 , NO e- can reach the anode!

The minimum V_0 needed to stop all egetting across to the anode is called the **stopping potential** V_0 and

 V_0 is basically a direct measurement of the maximum KE (K_{max}) of these electrons and they are related by,

$$K_{\max} = eV_0$$

Einstein's Photon Explanation



The interaction is an *all-or-none* process. **Electrons bounded to the surface of the metal can absorb a single photon at a time or none at all.** If *hf* is large enough to overcome ϕ , an electron will be ejected with kinetic energy K_{max} .

By energy conservation, we have: $K_{\text{max}} = hf - \phi$ ϕ depends on the metal surface $eV_0 = hf - \phi$

Notes

- Convenient Energy Units:

1eV = energy required to move one unit of charge across an electric potential of 1 V.

$$1eV = (1.602 \times 10^{-19} C)(1V) = 1.602 \times 10^{-19} J$$

$$h = 6.626 \times 10^{-34} J \cdot s \left(\frac{1eV}{1.602 \times 10^{-19} J}\right) = 4.136 \times 10^{-15} eV \cdot s$$

$$hc = 4.136 \times 10^{-15} eV \cdot s (3.00 \times 10^8 m/s) = 1.241 \times 10^{-6} eV \cdot m = 1241 eV \cdot nm$$

- Energy and Momentum of a Photon:

$$P = \frac{E}{c} = \frac{hf}{c} = \frac{h}{\lambda}$$

(momentum of a photon)

- The duality of light (wave & particle) applied to the entire EM spectrum !

X-Ray Production

X-rays are produced when rapidly moving electrons that have been accelerated through a *large* potential difference (10³ to 10⁶ V) strike a metal target.

X-rays emission is the *inverse* of the photoelectric effect.

Photoelectric: $hf \rightarrow K$ of eX-Ray prod: K of $e \rightarrow hf$ Electrons are emitted thermionically from the heated cathode and are accelerated toward the anode; when they strike it, x rays are produced.



X-Ray Production: Discrete Set of λs

Classical EM \rightarrow *deceleration* of high energy e^- should produce EM waves in a *broad* range of *f*.

BUT, the observation is that ...

1. Independent of target material: *bremsstrahlung* (braking radiation) \rightarrow gives maximum *f* (energy) or minimum λ directly proportional to the energy of the accelerated eletrons.

$$\mathbf{V}_{AC} = hf_{\max} = \frac{hc}{\lambda_{\min}}$$

max KE of accelerated e

2. Dependent on target material, a *characteristic* spectrum of X-Rays will be emitted \rightarrow electrons with sufficient *KE* can excite atoms in the target material. When they decay back to their ground state, light (X-Rays in this case) will be emitted.

In 1923, Arthur H. Compton provided an additional direct conformation on the quantum nature of x-rays.



- X-rays of well-defined λ are made to fall on a graphite target
- For various scattering angle ϕ , intensities of scattered x-rays are measured as a function of the wavelength.

Classical prediction:

Since diff. electrons will have diff. velocity, the intensity profile for the scattered x-rays is expected to be a single peak with a spread around λ_0 .



But, the actual experiment gives *two peaks* with a *Compton Shift* which depends on ϕ . Classical physics can't explain this !



Quantum Explanation: Treating scattering as a "billiard-like" collision



Conservation of Total Relativistic Energy:

(before)

Note: high energy collision $\rightarrow e$'s speed might be relativistic !

Conservation of Relativistic Momentum:

(before) (after)

$$x - dir: \frac{h}{\lambda} = \frac{h}{\lambda'} \cos \phi + \gamma mv \cos \theta$$

$$y - dir: 0 = \frac{h}{\lambda'} \sin \phi - \gamma mv \sin \theta$$

 $\frac{hc}{\lambda} + mc^2 = \frac{hc}{\lambda'} + \gamma mc^2$

(after)

Incident photon: Target electron
wavelength
$$\lambda$$
, (at rest)
momentum \vec{p}



(knowns $\rightarrow \lambda$: given, ϕ : observation angle) 3 eqs. with 3 unknowns (θ, λ', v)!

Here is the result:

$$\lambda' - \lambda = \frac{h}{mc} (1 - \cos \phi)$$

(Compton Shift Equation)

The factor h/mc has the units of length and

$$\lambda_C = \frac{h}{mc} = 0.002426nm$$

is called the Compton Wavelength.

Heisenberg's Uncertainty Principle



THEN the "spreading-out" of the photons implies that the photons reaching the screen must have a small *uncertainty* Δp_y *as large as* Δ_y in the vertical (\hat{y}) direction of its momentum as it exits the slit.

Heisenberg's Uncertainty Principle

In nature, space-time coordinates are linked to its dynamical counterparts as **conjugate** variable pairs in physics.

$$(p_x, x)$$
 (p_y, y) (p_z, z) and (E, t)

And, most importantly, the Heisenberg's Uncertainty Principle enforces an *inverse* proportional relation on the two *conjugate* pairs of dynamics variables:

$$\Delta x \Delta p_x \ge \frac{\hbar}{2}, \quad \Delta y \Delta p_y \ge \frac{\hbar}{2}, \quad \Delta z \Delta p_z \ge \frac{\hbar}{2}, \quad \Delta E \Delta t \ge \frac{\hbar}{2}$$

By decreasing the uncertainty in one of the variables (x or t), its corresponding **conjugate** variable $(p_x \text{ or } E)$ must increase accordingly ! But, there are **no** restrictions for *unconjugated* variables: $\Delta x \Delta p_y$ or $\Delta x \Delta y$, etc.

Correspondence Principle

So, when does light acts *classically* and *quantum mechanically*?

As we have seen, the basic energy scale for light (a photon) is $\Delta E = hf$

When light interacts with matter, the typical interaction energy scale E as compares to a single photon energy ΔE determines whether light acts as a wave (CM description) or a particle (QM description):

 $\begin{cases} \text{ if } f \text{ is } small (\lambda \text{ is long}), \Delta E = hf \ll E \text{ then light appears as a continuum} \\ \text{EM} \rightarrow \text{CM (light as a wave)} \\ \text{If } f \text{ is } large (\lambda \text{ is short}), \Delta E = hf \approx E \text{ then light appears as discrete packets} \\ \text{EM} \rightarrow \text{QM (light as particles)} \end{cases}$

Correspondence Principle

Radio waves (low freq): behaves like *classical* waves with diffraction and interference easily observable.

Visible lights (mid freq): have both wave (classical) & particle (quantum) behaviors. diffraction photoelectric effect X-Rays (high freq): mostly particle (quantum) behaviors. X-Rays productions and Compton Scattering γ -Rays (very high freq): particle (quantum) behaviors. Pair Productions and $e^{-}-e^{+}$ Pair Annihilations (reverse of PP)